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In re Patent Application of

Lerner

Atty. Ref.: 4230-5

Serial No. 10/051,817

Group: 1615

Filed: January 18, 2002

Examiner: Azpuru

For: METHOD AND APPARATUS FOR ENHANCED AND
CONTROLLED DELIVERY OF A BIOLOGICALLY ACTIVE AGENT
INTO THE CENTRAL NERVOUS SYSTEM OF A MAMMAL

* * * * *

September 22, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. § 1.55 and in conformance with the procedures of 37 C.F.R. § 1.98, applicants hereby bring the attached documents to the attention of the examiner. These documents are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Please return to the undersigned a copy of the attached PTO-1449 with the examiner's initials in the left column [MPEP § 609] with the next communication.

The Commissioner is hereby authorized to charge our Deposit Account No. 14-1140 for any fees required in connection with the filing of this Information Disclosure Statement.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: _____



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**- INFORMATION DISCLOSURE
CITATION**

ATTY. DOCKET NO.

SERIAL NO.

4230-5

10/051,817

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FILING DATE

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January 18, 2002

1615

(Use several sheets if necessary)

PATENT & TRADE MARKS

SEP 2 1982
(Use serial
number
here)

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	FILING DATE IF APPROPRIATE		
				CLASS	SUBCLASS	
	5,232,441	8/1993	Stephen et al			
	3,991,755	11/1976	Vernon et al			
	4,250,878	2/1981	Jacobsen et al			
	4,398,545	8/1983	Wilson			
	4,441,359	4/1984	Ezoe			
	4,564,016	1/1986	Maurice et al			
	4,883,660	11/1989	Blackman et al			
	5,087,234	2/1992	Prader et al			
	5,169,384	12/1992	Bosniak et al			
	5,222,936	6/1993	Stephen et al			
	5,298,017	3/1994	Theeuwes et al			
	5,302,172	4/1994	Sage, Jr. et al			
	5,401,239	3/1995	Stephen et al			
	5,486,160	1/1996	Rossi et al			
	5,545,617	8/1996	Dartt et al			
	5,588,961	12/1996	Leone et al			
	5,624,898	4/1997	Frey, II			
	5,720,720	2/1998	Laske et al			
	5,728,068	3/1998	Leone et al			
	5,807,306	9/1998	Shapland et al			
	5,843,016	12/1998	Lugnani et al			
	5,897,858	4/1999	Haslwanter et al			
	5,993,435	11/1999	Haak et al			
	6,001,088	12/1999	Roberts et al			
	6,006,130	12/1999	Higo et al			
	6,219,557	4/2001	Havinis			

FOREIGN PATENT DOCUMENTS

SEARCHED DOCUMENTS							TRANSLATION	
DOCUMENT		DATE	COUNTRY	CLASS	SUBCLASS		YES	NO
	WO 99/01229	1/1999	PCT					
	WO 00/44350	8/2000	PCT					

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.